

PTO 28 APR 2006

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PCT Applicant's Guide - Volume II - National Chapter - US

Annex US.II, page 1

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FORM PTO-1390  
(REV 1-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

81887.0145

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NUMBER

(if known, see 37 C.F.R. 1.35)

Not Known

20/577703

INTERNATIONAL APPLICATION NO.

PCT/JP2004/015642

INTERNATIONAL FILING DATE

October 15, 2004

PRIORITY DATE CLAIMED

October 29, 2003

TITLE OF INVENTION

Mobile Communication Terminal, Mobile Communication Managing Apparatus,  
Mobile Communication System, Computer Readable Medium For Mobile  
Communication and Mobile Communication Method

APPLICANT(S) FOR DO/EO/US

Masahiro SAITO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

<b>U.S. APPLICATION NO. 703</b> (if known, see 37 C.F.R. 1.5) Not Known		<b>INTERNATIONAL APPLICATION NUMBER</b> PCT/JP2004/015642		<b>ATTORNEY'S DOCKET NUMBER</b> 81887.0145	
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17. <input type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):</b> Basic National Fee .....\$300 National Stage Search Fee .....\$400 National Stage Examination Fee .....\$200  <div style="text-align: right;"><b>TOTAL FEE AMOUNT =</b></div>					<b>CALCULATIONS PTO USE ONLY</b>  	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					\$ 900 \$ 130.00	
CLAIMS	NUMBER FILED	NUMBER ALLOWED	NUMBER EXTRA	RATE		
Total claims	9	20	0	X \$50.00	0	
Independent claims	6	3	3	X \$200.00	600.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				X \$360.00	0	
SIZE FEE <u>51</u> (TOTAL PAGES OF SPEC AND DRAWINGS TOGETHER)			\$250 for each additional 50 sheets	X \$250.00	0	
<b>TOTAL OF ABOVE CALCULATIONS =</b>					1630.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. § 1.27. The fees indicated above are reduced by 1/2.					0	
<b>SUBTOTAL =</b>					1630.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).					\$ 0 +	
<b>TOTAL NATIONAL FEE =</b>					1630.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +					\$ 40 +	
<b>TOTAL FEES ENCLOSED =</b>					1630.00	
\$					<b>Amt. Refunded</b>	\$
					<b>Amt. charged</b>	

  

a. ☐ Checks in the amounts of \$0 and 0 to cover the above fees are enclosed.

b. ☒ Please charge my Deposit Account No. 50-1314 in the amount of 1630.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1314. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

☒ Customer Number 000026021 →

HOGAN & HARTSON L.L.P.  
 500 South Grand Avenue, Suite 1900  
 Los Angeles, California 90071  
 Phone: 213-337-6700  
 Fax: 213-337-6701

**SIGNATURE**  
 Troy M. Schmelzer  
 REG. NO. 36,667  
 Express Mail Label No.: EV 627 171 362 US  
 Date: April 28, 2006

Transmittal Letter to the United States Patent Office (DO/US)—Entry Into National Stage under 35 U.S.C. 371—PTO 1390 [13-7]

**The PTO did not receive the following listed item(s):** NO ASSIGNMENT DOCUMENT

10/577703

APR 28 2006  
PATENT

Attorney Docket No: 81887.0145

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Masahiro SAITO

Serial No: Not Assigned

Filed: April 28, 2006

For: Mobile Communication Terminal, Mobile Communication  
Managing Apparatus, Mobile Communication System,  
Computer Readable Medium For Mobile Communication  
and Mobile Communication Method

Art Unit: Not Assigned

Examiner: Not Assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EV 627 171 362 US

Date of Deposit: April 28, 2006

Mail Stop  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ check in amount of \$ 1630.00 as filing fee and examination fee
- ☒ patent application ( 43 page(s) of specification; 9 claim(s); 1 page(s) of abstract
- ☒ 7 sheet(s) of informal drawings
- ☒ Preliminary Amendment
- ☒ Information Disclosure Statement with 4 references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Mail Stop  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: April 28, 2006

Hogan & Hartson, LLP  
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Los Angeles, California 90071  
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Joanna Alonso

Name of person mailing papers

  
Signature